

# 29th Annual RPUG Conference

Denver, CO November 14-17

## Exploring Pavement Texture and Surface Friction Using Soft Computing Techniques

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# Background

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- ❑ Pavement friction: the force resisting the relative motion between vehicle tire and pavement surface (contact method)
    - ✓ Static devices: British pendulum tester (BPT), dynamic friction tester (DFT)
    - ✓ High speed instruments: locked wheel skid trailer, grip tester - consuming water & tire with limited contact area
    - ✓ Depending on many factors, such as testing speed, temperature, water film, tire tread, traffic wander
- 



# Background

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- ❑ Pavement texture: the deviations of pavement surface from a true planar surface (Non-contact method)
  - ✓ Macrotexture: sand patch, CTM, high speed profiler; widely used indicators - MPD (2D) and MTD (3D)
  - ✓ Microtexture: primarily in laboratory (<0.5 mm)
  - ✓ Could be a surrogate of friction with more versatile applications through various vehicle-pavement simulations



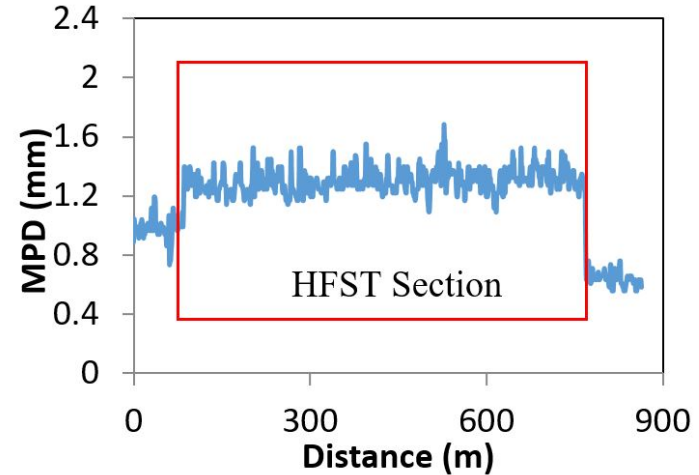
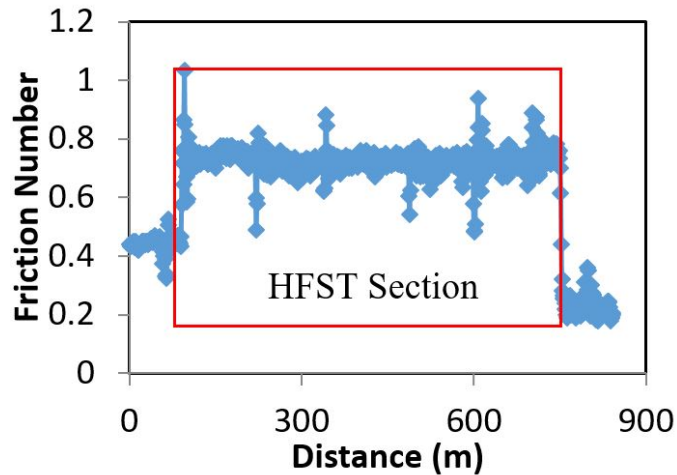
# Problem Statement

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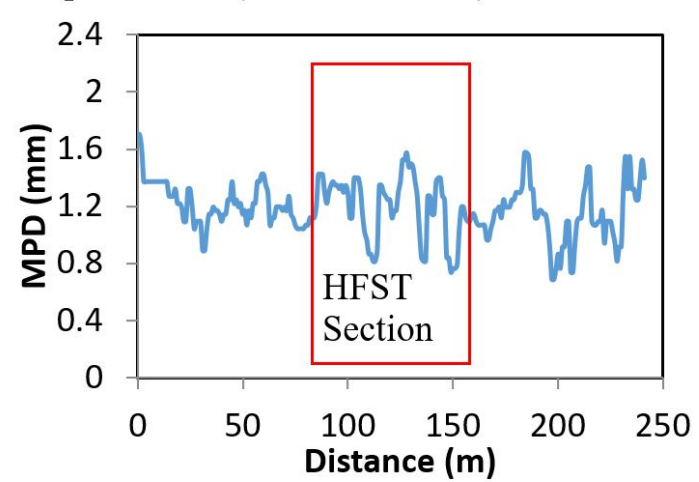
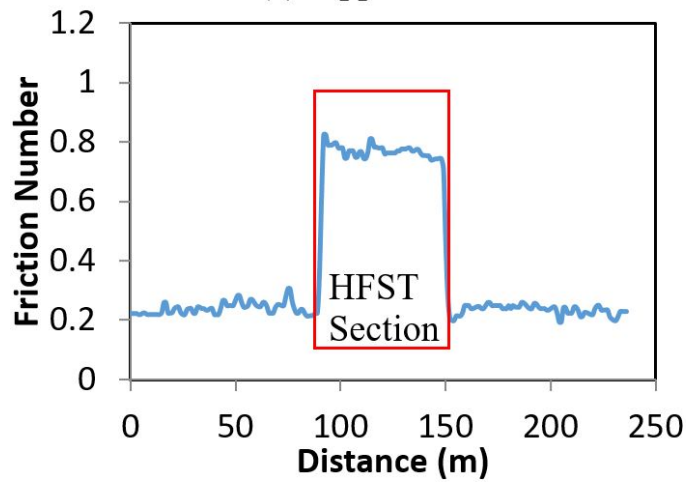
- ❑ No consistent relationships between texture indicators and friction via traditional methodologies
  - ✓ MPD & MTD of macro-texture: very simplified representation of texture profiles, which could result in the lose of useful information from rich data
  - ✓ Micro-texture: limited in laboratory, high speed instrument not available



# Preliminary Result

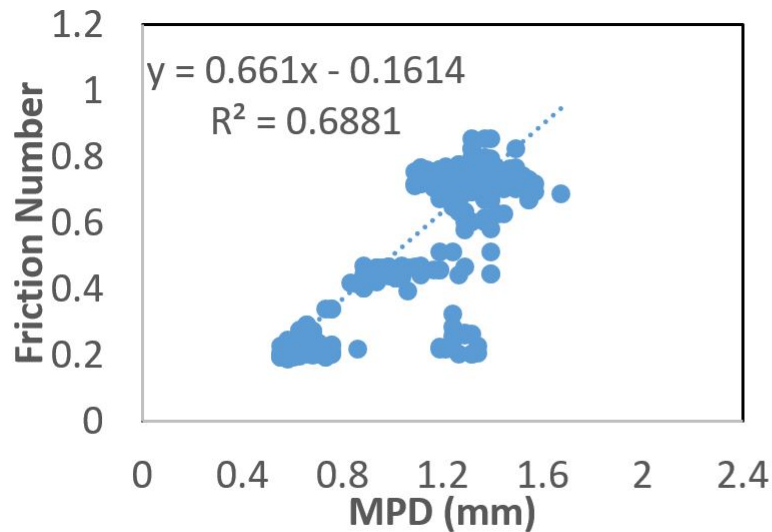


(a) Apparent Friction and MPD Improvement (Site A: IA-I380)

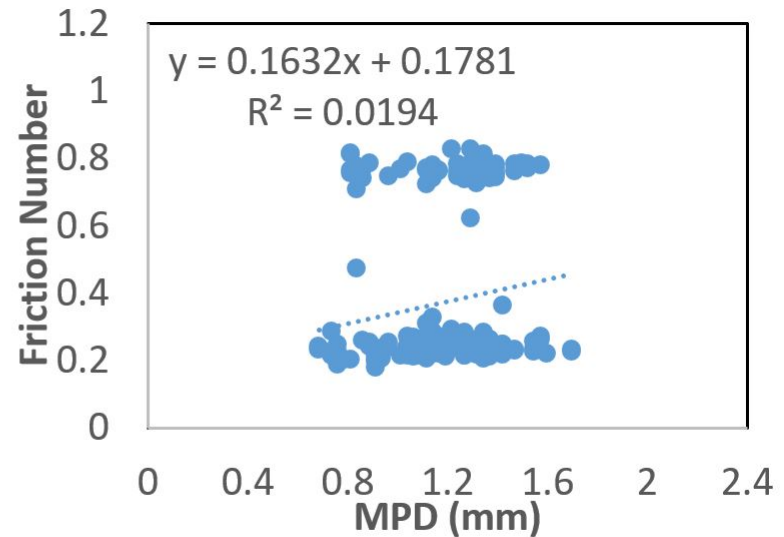


(b) Apparent Friction Improvement but M MPD (Site B: OK-SH20)

# Preliminary Result



(a) Site A: IA-I380



(b) Site B: OK-SH20

**Conventional pavement texture indicator MPD:  
inadequate to predict pavement friction number  
consistently for diversified pavement surfaces**

# Potential Solutions

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- ❑ Novel texture parameters, besides MPD & MTD, which correlate better with friction
    - ✓ From other disciplines, such as mechanical engineering, tire industries, et al.
    - ✓ Use both macro- and micro-texture indicators, combining with field and laboratory (based on surface topography) data sets
  - ❑ Better use of macro-texture profile data
    - ✓ Extract information from profiles using advanced soft computing technologies
    - ✓ Directly use rich profile data as a whole for friction estimation
- 



# Available Instrument Resources

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- ❑ Grip tester: continuous friction measurements
- ❑ Dynamic friction tester: portable device to measure the speed dependency of pavement friction
- ❑ AMES high speed profiler: MPD (macro-texture)
- ❑ LS-40 surface scanner: 0.01 mm resolution (macro- & micro-texture)

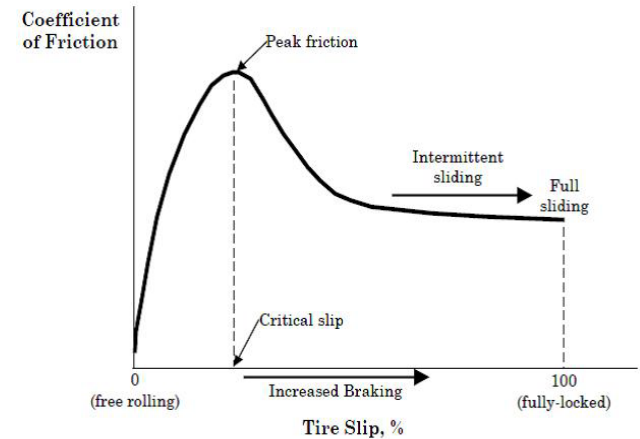




# Available Instrument Resources

## □ Grip Tester

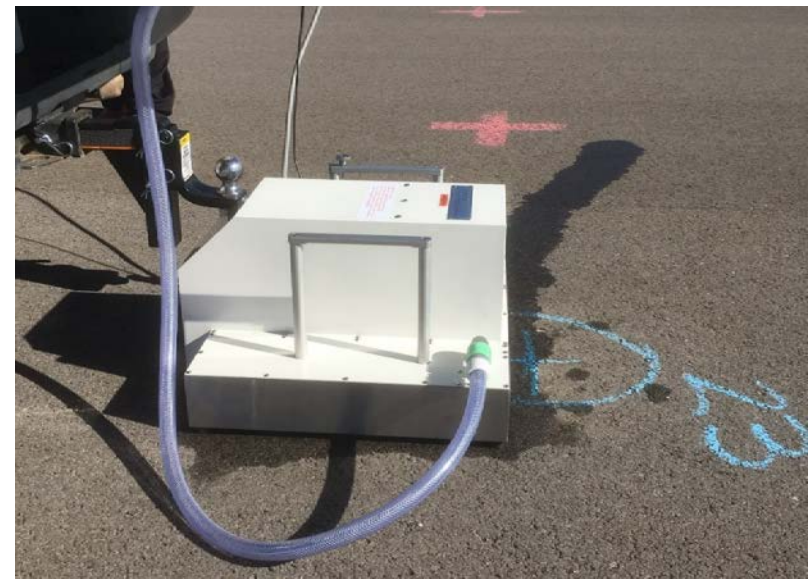
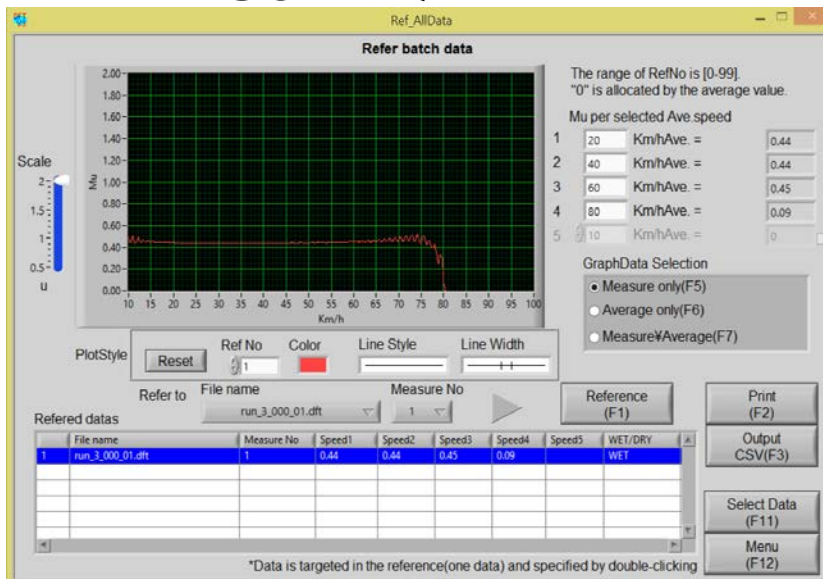
- ✓ Continuously measure longitudinal friction
- ✓ Operating around the critical slip of an anti-lock braking system
- ✓ Much shorter testing section length requirement
- ✓ Airports and highways safety management



# Available Instrument Resources

## ❑ Dynamic Friction Tester (DFT)

- ✓ Portable device to measure the speed dependency of pavement friction
- ✓ Acquiring friction at testing speed from 10 to 80 km/h



# Available Instrument Resources

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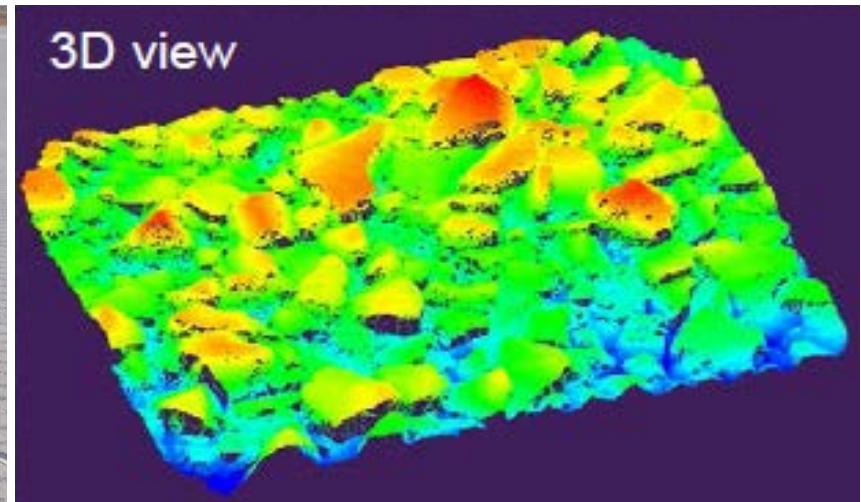
- ❑ AMES 8300 High Speed Profiler
  - ✓ Surface macro-texture data & standard profile data at highway speeds
  - ✓ Mean Profile Depth (MPD)
  - ✓ International Roughness Index (IRI)



# Available Instrument Resources

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- ❑ LS-40 Surface Analyzer
  - ✓ Data Pixel: 2048 x2448
  - ✓ Resolution: 0.01mm (0.0004")
  - ✓ Pavement surface micro- & macro-texture



# RPUG 2016

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## □ Wavelet based Analysis

- ✓ To decompose pavement macro-texture data into multi-scale characteristics
- ✓ To investigate the suitability of wavelet based indicators for pavement friction prediction
- ✓ AMES data vs. grip tester data

## □ Novel Texture Parameters

- ✓ Five categories: height, volume, hybrid, spatial, and feature based parameters from various disciplines (24 indicators in total)
- ✓ To examine the relationship between them and friction
- ✓ LS-40 data vs. DFT data



# RPUG 2017

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- ❑ Wavelet analysis based evaluation of texture contribution to friction at macro- and micro-texture levels
  - ✓ Butterworth filter: decompose high resolution texture profile data into macro- and micro-level
  - ✓ Wavelet transformation: calculate wavelet energy as texture indicator at macro- and micro-levels
  - ✓ Determine the dependency of pavement friction on macro- and micro-texture at different speeds
  - ✓ Investigate multi-scale texture within the critical depth of pavement



# RPUG 2017

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- Deep Learning (DL) based friction prediction model using pavement texture data
  - ✓ Investigate the suitability of DL architectures for friction prediction model
  - ✓ Develop Convolutional Neural Network (CNN), one of the most widely used DL methodologies, for training, validation, and testing
  - ✓ Evaluate the accuracy and performance of the developed CNN model



# Methodology

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## □ Wavelet based Analysis

- ✓ Separate pavement macro- & micro-texture via Butterworth filter
- ✓ Investigate the suitability of wavelet based indicators for pavement friction prediction
- ✓ LS-40 data vs. DFT data

## □ DL based Analysis

- ✓ FrictionNet: CNN based model for training, validation, and testing
  - ✓ Predict friction with texture data
  - ✓ AMES data vs. grip tester data
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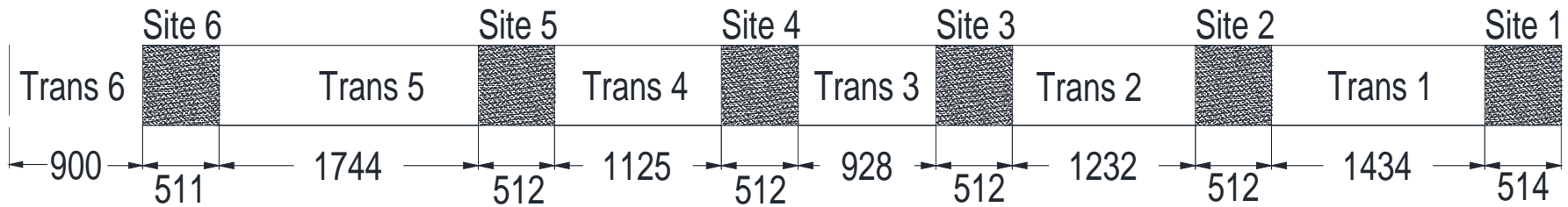
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# Part I

## Wavelet based Analysis



# Data Source



**OKLAHOMA DOT SPR 2115, LONG TERM PAVEMENT PERFORMANCE MONITORING OF SIX LTPP SPS-10 SECTIONS IN OKLAHOMA WITH 3D LASER IMAGING**

# Wavelet Analysis

Collect High Resolution 3D Image & Friction Data

Step 1: De-noise Image

Clean 3D Image

Step 2: Apply Butterworth Filter

Macro- & Micro-texture

Step 3: Perform Wavelet Analysis

Total Energy Matrix

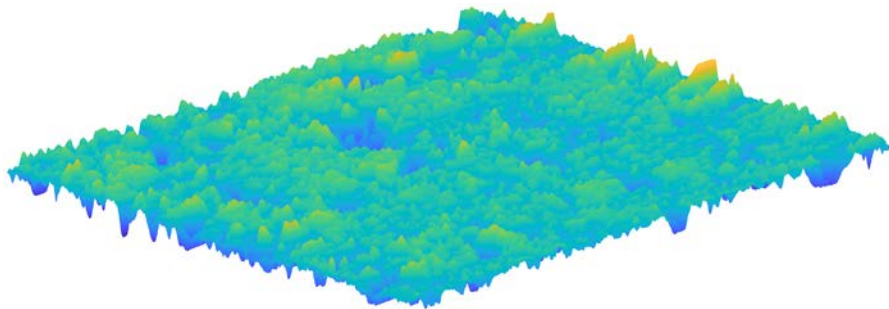
Step 4: Conduct Correlation Analysis

Critical Depth of Pavement

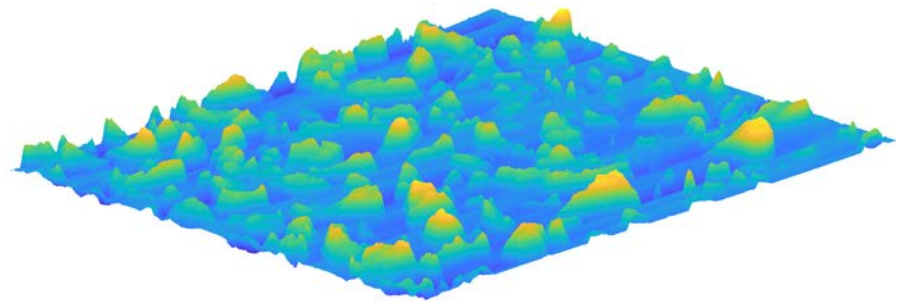
Step 5: Develop Friction Prediction Model

# Wavelet Analysis

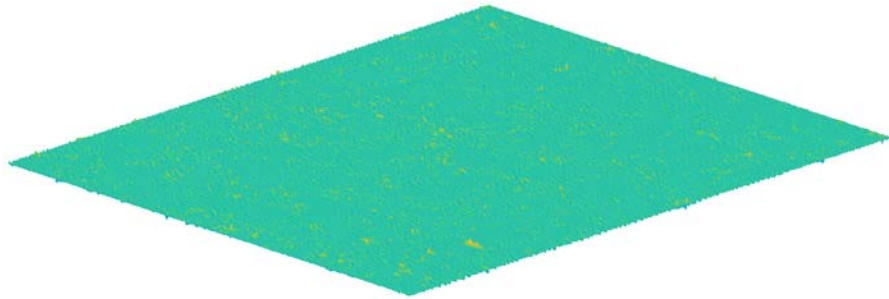
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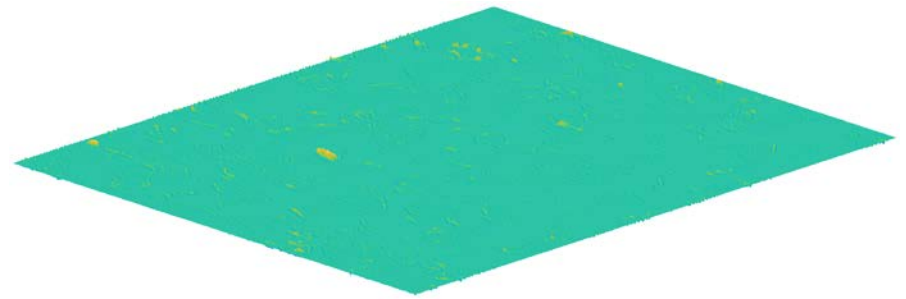
**Site 2 – Macro-texture**



**Site 6 - Macro-texture**



**Site 2 – Micro-texture**



**Site 6 - Micro-texture**

# Wavelet Analysis

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- Decompose macro- & micro-texture into combination of different wavelets

- ✓ Energy  $E_{ni} = \frac{1}{N} \sum_{j,k} (D_{ni}(b_j, b_k))^2$

- ✓ Total Energy (TE)  $TE = \sum_{n=1}^d E_{ni}$

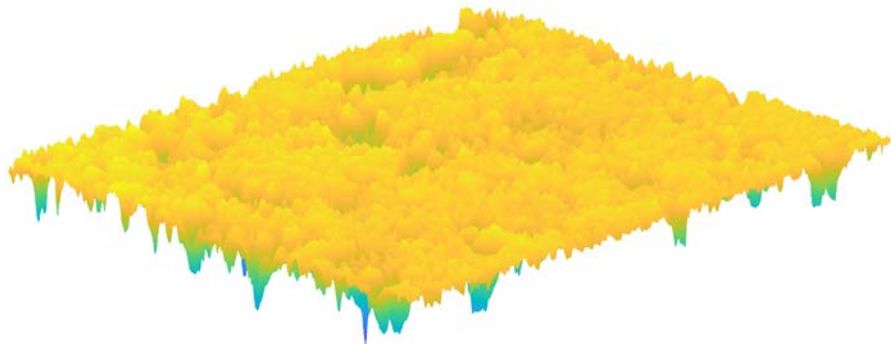
# Critical Depth of Texture

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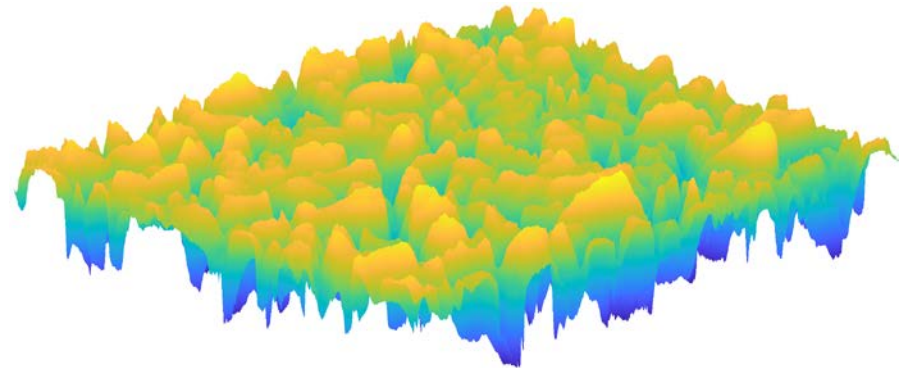
- ❑ Topmost asphalt layer: direct contact with tire that actually contribute to friction
  - ✓ Mean tire penetration depth (Kennedy et al. 2015): 0.03 mm (passenger car) vs. 0.08 mm (truck)
- ❑ Critical depth of texture
  - ✓ Cut 3D surface into slices with various depths, while using the top portion to relate to friction
  - ✓ Correlation analysis between  $TE_{\text{macro}}$  &  $TE_{\text{micro}}$  with friction at different DFT speeds: to determine the critical depths at both texture levels

# Critical Depth of Texture

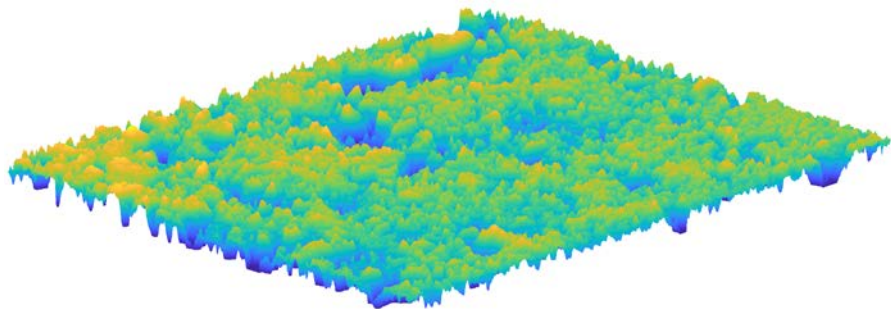
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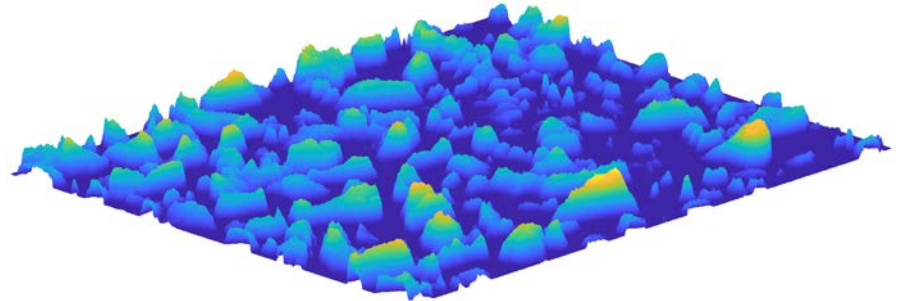
**Site 2 – Full range**



**Site 6 – Full range**



**Site 2 – Top 1.4 mm**

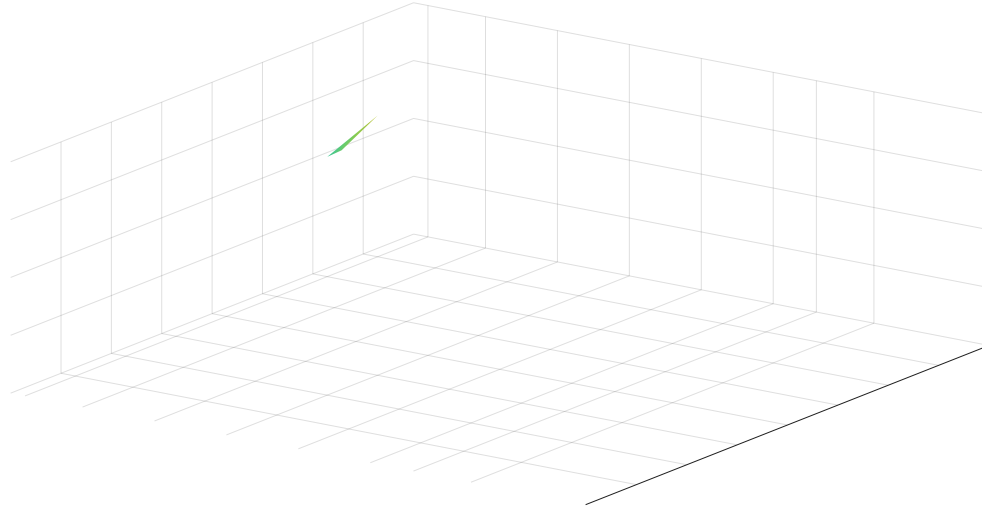


**Site 6 - Top 1.4 mm**

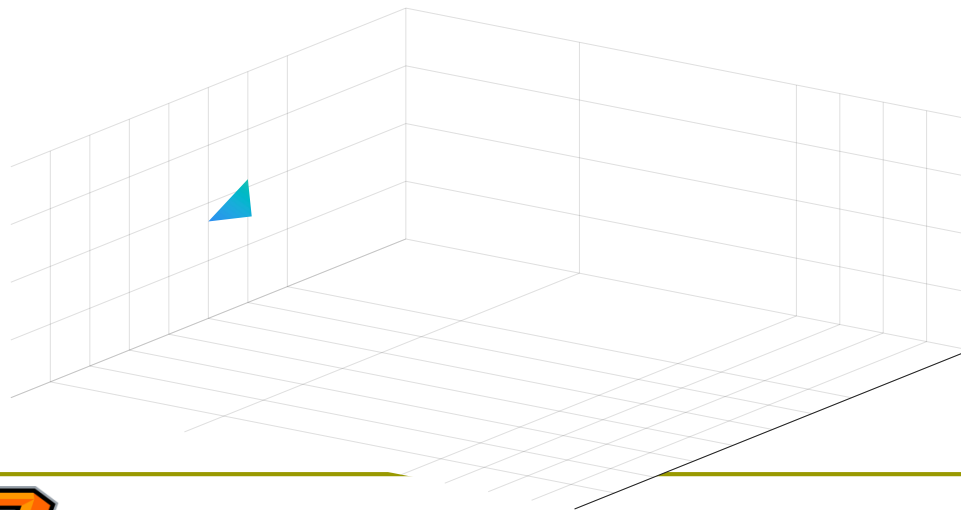
**Top topography analysis of a fractal surface**

# Critical Depth of Texture

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- Macro-texture:  
1.4 mm of  
critical depth



- Micro-texture:  
0.5 mm of  
critical depth



# Friction Prediction Model

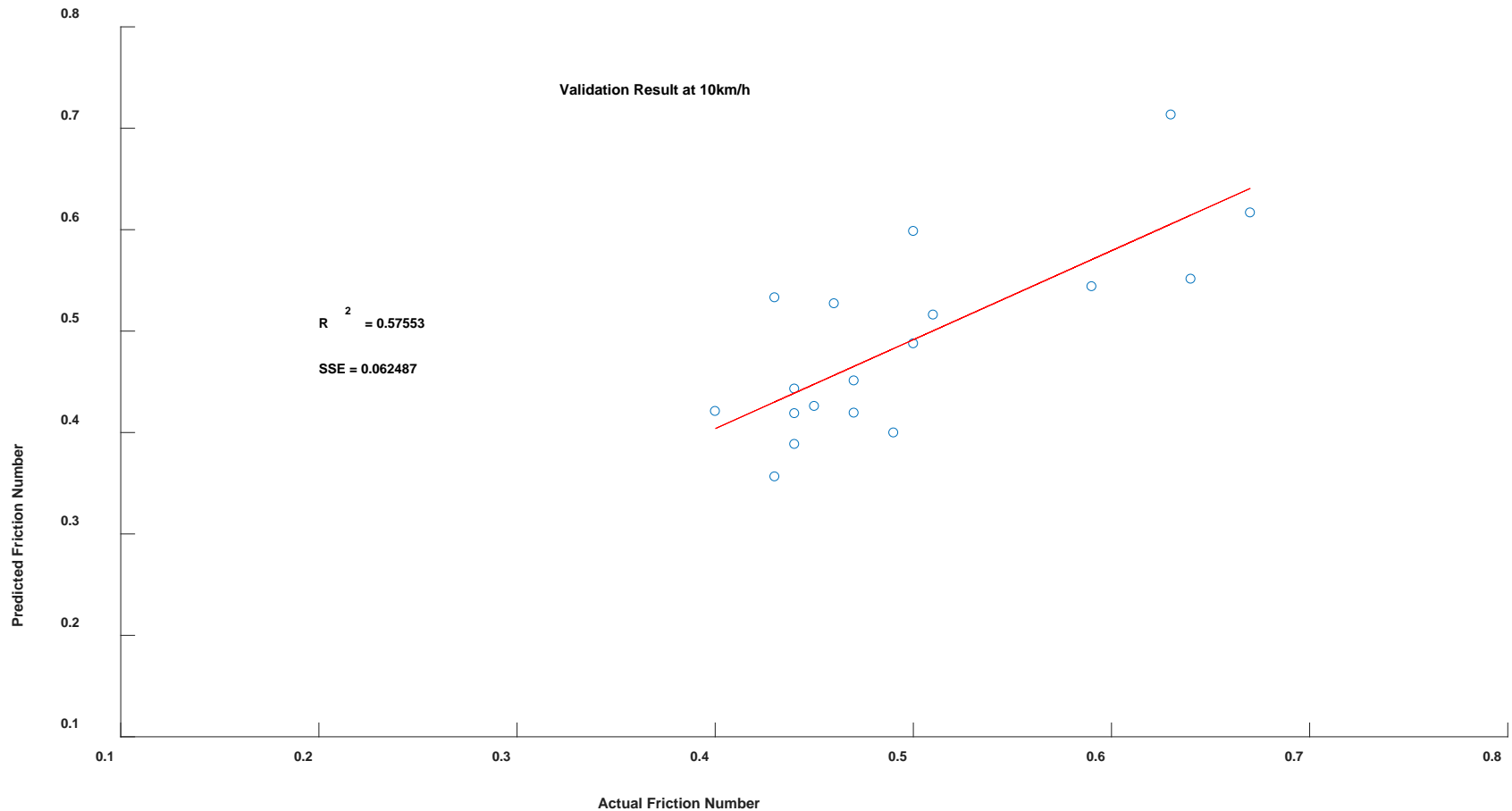
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- ❑ 72 testing points on LTPP SPS-10: 75% for model development, 25% for validation
- ❑ Relate friction to  $TE_{\text{macro}}$  &  $TE_{\text{micro}}$  at the critical depth of texture
- ❑ Evaluate macro- and micro-texture contributions to DFT friction at different speeds
- ❑ Include ambient temperature (T) in the model

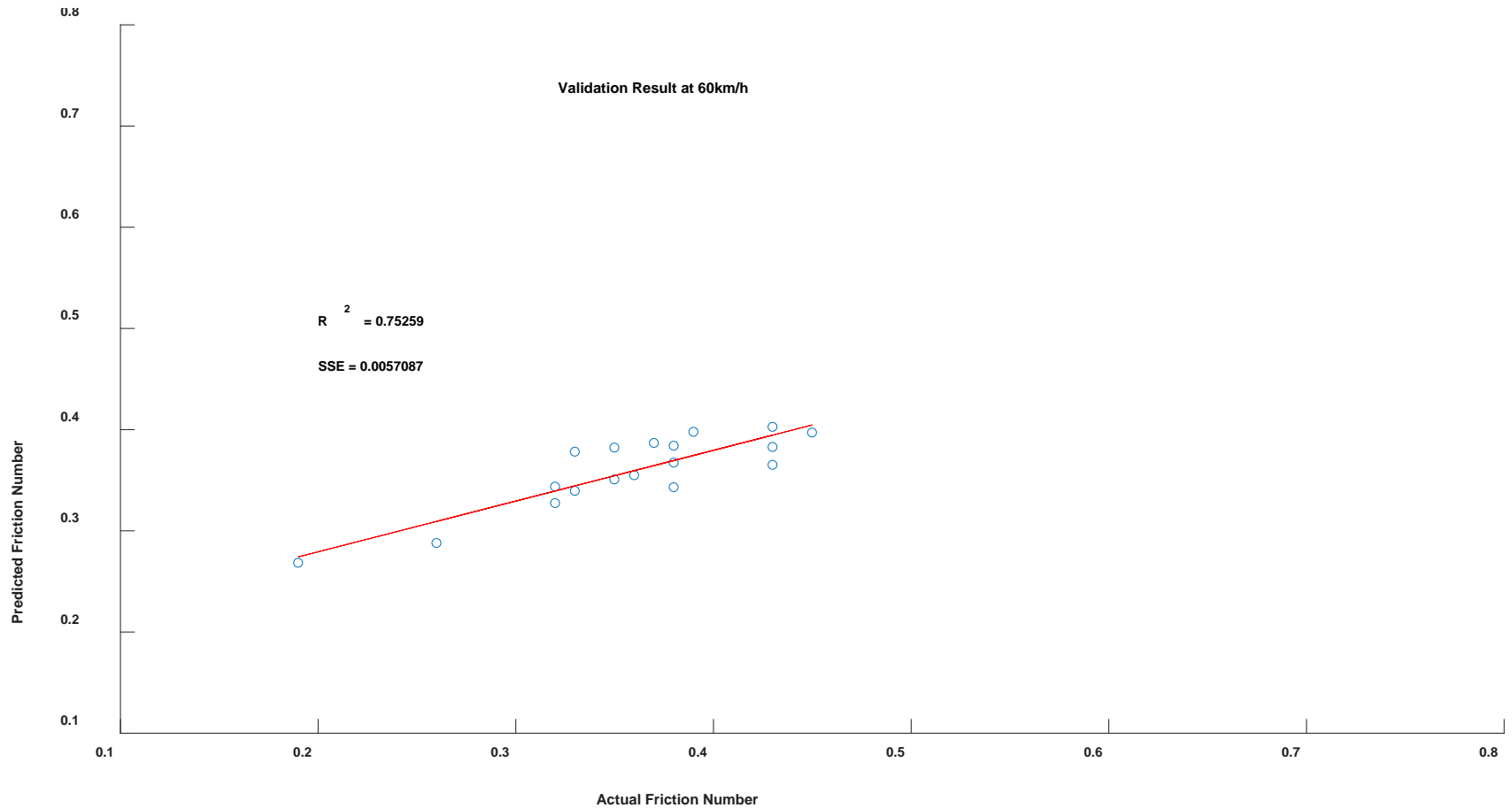
$$\text{Friction Number} = a + \sum_1^2 TE_i * b_i + T * c$$



# Friction Prediction Model



# Friction Prediction Model



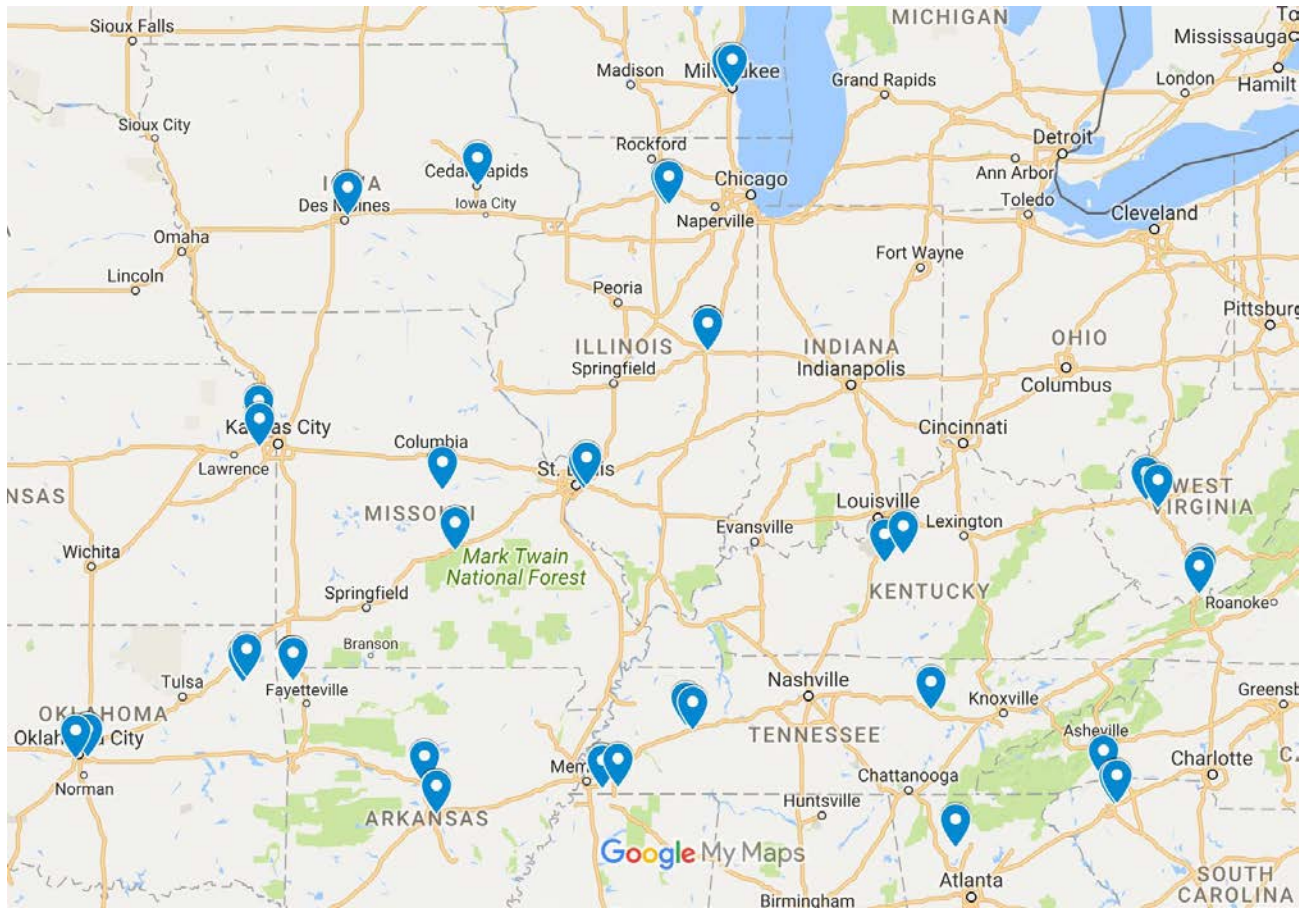
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# Part II

## Deep Learning based Analysis



# Data Source



**FHWA, LONG TERM PERFORMANCE MONITORING OF HIGH FRICTION SURFACING TREATMENTS (HFST) SITES (3 YR)**

# Data Source



HFST  
Pavement  
(GA-140)



Flexible  
Pavement  
(TN-298)



Rigid  
Pavement  
(OK-I44)



Bridge  
Deck  
(WV-I64)



Grooved  
Flexible  
Pavement  
(MO-I44)



Grooved  
Rigid  
Pavement  
(WI-I94)

**FHWA, LONG TERM PERFORMANCE MONITORING OF HIGH FRICTION SURFACING TREATMENTS (HFST) SITES (3 YR)**



# Deep Learning

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- “a new area of Machine Learning research, which has been introduced with the objective of moving closer to one of its original goals: Artificial Intelligence”



AlphaGo Fan



AlphaGo Lee



AlphaGo Master

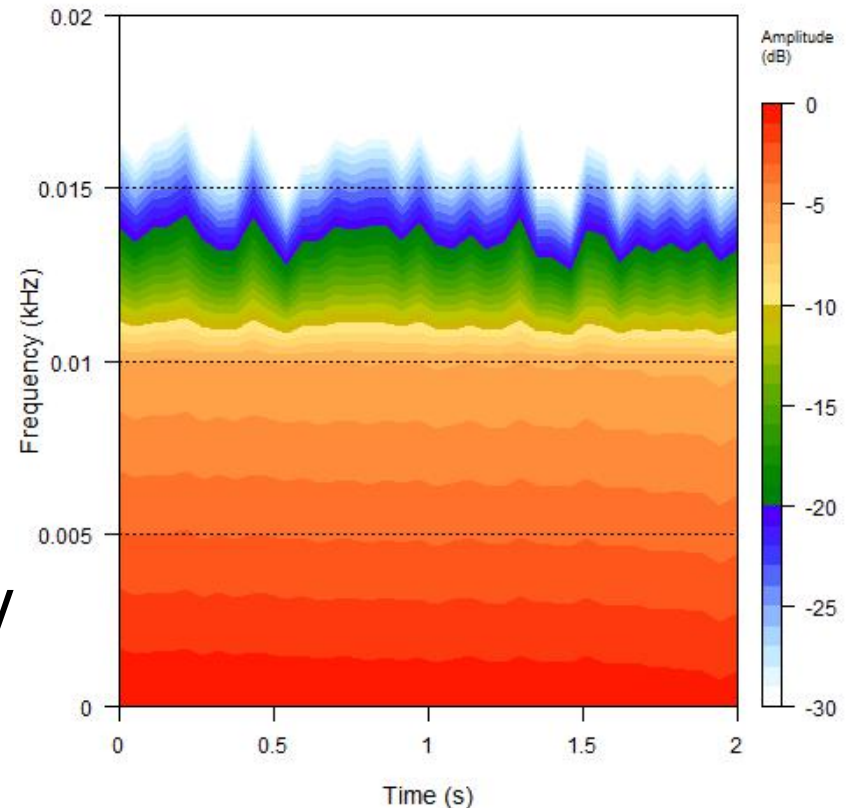


AlphaGo Zero



# Profile Spectrogram

- ❑ Pair raw pavement texture profile with friction number for each 3-foot segment
- ❑ Spectrogram: a visual representation of the spectrum of signal frequencies as they vary with time or some other variable

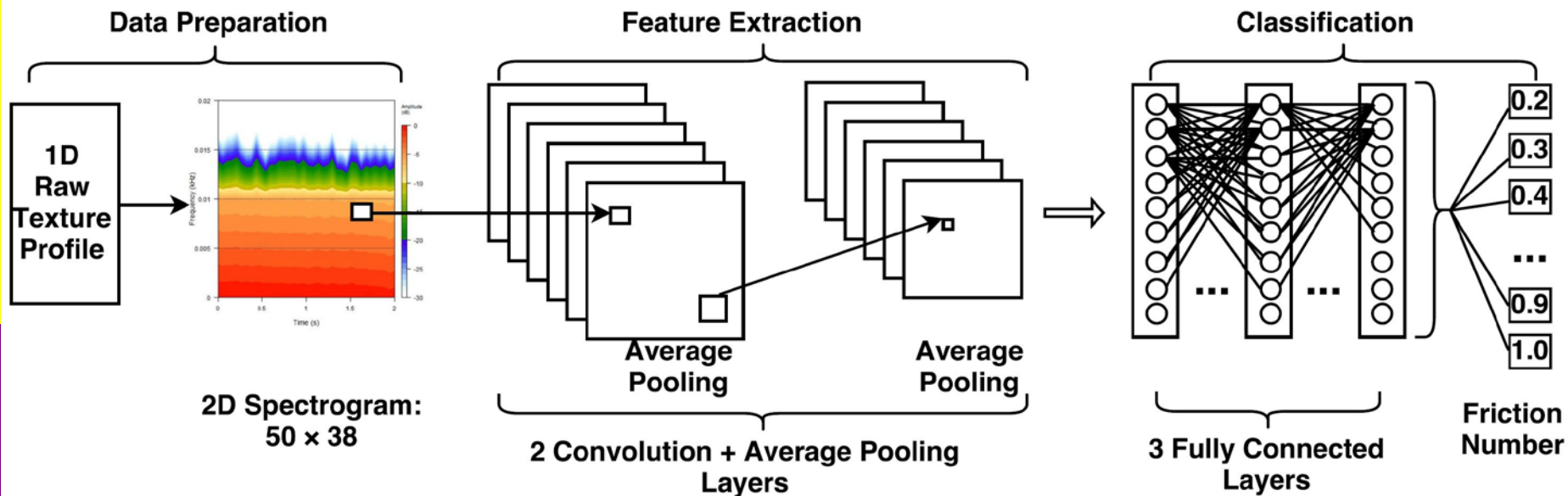




# Convolutional Neural Network (CNN)

## FrictionNet architecture

- ✓ 6 layers: 2 convolution, 3 fully connected, and 1 output layer



# CNN Architecture

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- ❑ Input: Spectrogram of texture profile
- ❑ Output: friction levels from 0.2 to 1.0 in 0.1 interval
- ❑ Tuned hyper-parameters: 606,409

Layer	# Parameters
Layer 1: Convolution	640
Layer 2: Convolution	55,392
Layer 3: Fully Connected	540,736
Layer 4: Fully Connected	6,240
Layer 5: Fully Connected	3,104
Layer 6: Output	297
Total	606,409



# Training

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- 63,000 pairs of data: randomly select 80%, 10% and 10% data for training, validation, and testing
- Training platform: MXNet
- Training hardware: NVIDIA GeForce GTX TITAN Black
- Training time: 1.68 h



# Training Techniques

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- Learning method: Stochastic Gradient Descent
- Initialization of parameters: Xavier
- L2 regularization and Dropout: combat overfitting
- Cost function: cross-entropy

$$\text{CE}(\text{label}, \text{output}) = - \sum_i \text{label}_i \log(\text{output}_i)$$



# Training Techniques

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- Softmax function: probability distribution of predicted friction number

$$\text{softmax}(x)_i = \frac{\exp(x_i)}{\sum_j \exp(x_j)}$$

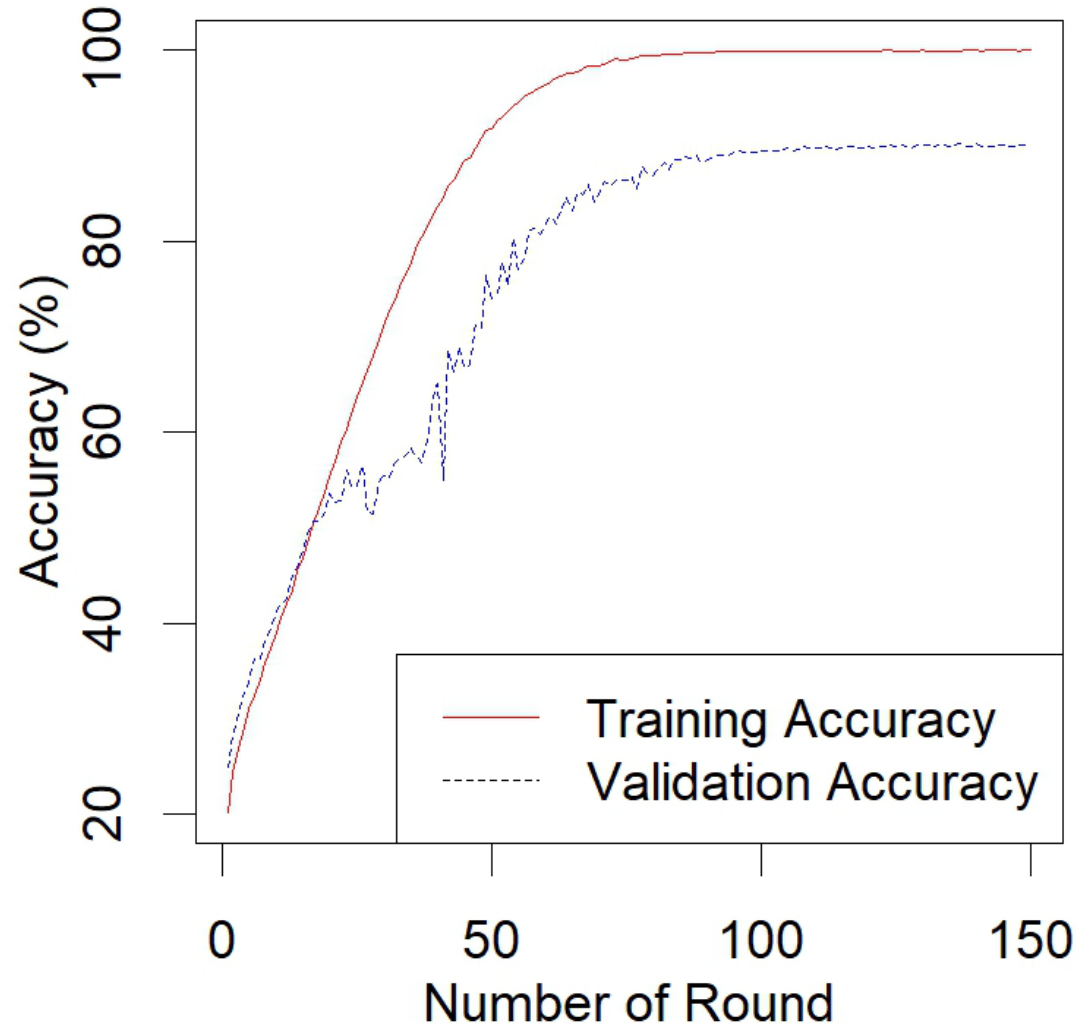
- Accuracy: evaluate the goodness of CNN model

$$\text{accuracy}(y, \hat{y}) = \frac{1}{n} \sum_{i=0}^{n-1} 1(\hat{y}_i == y_i)$$



# Accuracy Summary

- Training accuracy: 99.99%
- Validation accuracy: 90.13%
- Testing accuracy: 90.63%



# Conclusions

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- ❑ Top 1.4 mm of pavement texture: critical portion in the context of tire-road contact
- ❑ Macro-texture: primarily contributions to friction at high speed
- ❑ Micro-texture: governs friction at low speed
- ❑ Ambient temperature: significant factor for friction performance

# Conclusions

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- ❑ Large amount of texture and friction data collected on diverse pavement surfaces
  - ✓ 50,400 pairs of data for training, 12,600 pairs of data for validation and testing
- ❑ FrictionNet: CNN based DL friction prediction model using pavement texture data
  - ✓ Six layers with more than 600,000 parameters
  - ✓ Achieve 99.99% training and 90.63% testing accuracy





# Acknowledgements

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- Federal Highway Administration (FHWA)
- Oklahoma Department of Transportation (ODOT)



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## Questions ?

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